



## **Dowgate MTF – Participant Notice**

Notice: 2022\_1

## Notification

<b>Subject</b>	: Confirmation of amendments to the Rulebook
<b>Effective Date</b>	: 20 June 2022
<b>Notification Reference</b>	: 2022_1

## Notification Summary

This Participant Notice details a number of changes to the Dowgate MTF Rulebook which will be effective as of Monday 20 June 2022. This new version incorporates the necessary amendments to include the listing activity of King & Shaxson Capital Markets, S.V. S.A.

## Notification Details

### Rulebook

<b>Section</b>	<b>Amendment</b>
Rule 1 – Definitions	<ul style="list-style-type: none"><li>• Minor amendments to the definitions to include the necessary references to the new listing service.</li></ul>
Rule 3 – Eligibility Criteria	<ul style="list-style-type: none"><li>• Amendment to modify criteria to include references to both “Applicant” and “Participant”.</li></ul>
Rule 6.2.2. – Participant Undertakings	<ul style="list-style-type: none"><li>• Amendment of rule 6.2.2. to clarify that a Dowgate MTF will not provide any opinion or advice relating to any tradeable security.</li></ul>
Rule 8 – Tradeable Securities	<ul style="list-style-type: none"><li>• Inclusion of specific requirements for the listing service.</li></ul>
Rule 9. – Requirements for the first admission of non-equity securities	<ul style="list-style-type: none"><li>• Inclusion of the requirements for the application for first admission of securities in Dowgate MTF.</li></ul>
Rule 10 – Decision on the first admissions and listing	<ul style="list-style-type: none"><li>• Inclusion of this new rule to clarify that the inclusion of financial instruments for its first admission to listing on Dowgate (Madrid) is decided by King &amp; Shaxson Capital Markets, S.V., S.A.</li></ul>
Rule 11 – Trading Rules (before: Rule 9)	<ul style="list-style-type: none"><li>• Amendment to modify the minimum size of the orders on the MTF, which will be now EUR 100,000.</li></ul>
Prior Rule 15.4 – Actionable IOIs	<ul style="list-style-type: none"><li>• Deleted.</li></ul>